

Social Responsibility Corrective Action Plan (CAP)

Factory should complete this CAP where indicated and return to Palardon@vince.com within 10 days receipt.

Factory contact listed below is responsible for completing and returning this CAP.

Approvals on this form approve the plan to correct violations.

Audit Date:

Factory Name:	Contact Name:
Factory Address:	Contact E-mail:

ISSUE (auditor):		
DEFICIENCIES/VIOLATIONS (auditor)	PROCEDURE ANALYSIS	
	Does the factory have a procedure/policy to prevent the violation (auditor)? If yes, please state procedure/policy (auditor): How did the procedure/policy fail (factory)?	
ACTION STEPS TO BE TAKEN (be as specific as possible) enter key will add more steps as necessary (factory)	COMPLETION DATE	
1.	ESTIMATED	ACTUAL
	1.	1.
COMMENTS:		DATE:

*Approved means the corrective action plan is approved, not the factory or the deficiency identified.

**V Opco, LLC production may not be placed in a factory until independent audit has been conducted and factory receives either an Acceptable audit grade or a Needs Improvement grade with a CAP presented to V Opco, LLC - Per policy statement regarding placement of production.

***Audit deficiencies in the wage/hour, child or forced labor and disciplinary practices categories must be re-audited by independent monitor to verify compliance using a method acceptable to Vince.

Social Responsibility Corrective Action Plan (CAP)

ISSUE (auditor):		
DEFICIENCIES/VIOLATIONS (auditor)	PROCEDURE ANALYSIS	
	Does the factory have a procedure/policy to prevent the violation (auditor)? If yes, please state procedure/policy (auditor): How did the procedure/policy fail (factory)?	
ACTION STEPS TO BE TAKEN enter key will add more steps as necessary (factory)	COMPLETION DATE	
	ESTIMATED	ACTUAL
2.	1.	1.
V OPCO, LLC USE ONLY		
COMMENTS:	DATE:	

ISSUE (auditor):		
DEFICIENCIES/VIOLATIONS (auditor)	PROCEDURE ANALYSIS	
	Does the factory have a procedure/policy to prevent the violation (auditor)? If yes, please state procedure/policy (auditor): How did the procedure/policy fail (factory)?	
ACTION STEPS TO BE TAKEN enter key will add more steps as necessary (factory)	COMPLETION DATE	
	ESTIMATED	ACTUAL
3.	1.	1.
V OPCO, LLC USE ONLY		
COMMENTS:	DATE:	

*Approved means the corrective action plan is approved, not the factory or the deficiency identified.

**V Opco, LLC production may not be placed in a factory until independent audit has been conducted and factory receives either an Acceptable audit grade or a Needs Improvement grade with a CAP presented to V Opco, LLC - Per policy statement regarding placement of production.

***Audit deficiencies in the wage/hour, child or forced labor and disciplinary practices categories must be re-audited by independent monitor to verify compliance using a method acceptable to Vince.

Social Responsibility Corrective Action Plan (CAP)

ISSUE (auditor):		
DEFICIENCIES/VIOLATIONS (auditor)	PROCEDURE ANALYSIS	
	Does the factory have a procedure/policy to prevent the violation (auditor)? If yes, please state procedure/policy (auditor): How did the procedure/policy fail (factory)?	
ACTION STEPS TO BE TAKEN enter key will add more steps as necessary (factory)	COMPLETION DATE	
	ESTIMATED	ACTUAL
4.	1.	1.
V OPCO, LLC USE ONLY		
COMMENTS:	DATE:	

ISSUE (auditor):		
DEFICIENCIES/VIOLATIONS (auditor)	PROCEDURE ANALYSIS	
	Does the factory have a procedure/policy to prevent the violation (auditor)? If yes, please state procedure/policy (auditor): How did the procedure/policy fail (factory)?	
ACTION STEPS TO BE TAKEN enter key will add more steps as necessary (factory)	COMPLETION DATE	
	ESTIMATED	ACTUAL
5.	1.	1.
V OPCO, LLC USE ONLY		
COMMENTS:	DATE:	

*Approved means the corrective action plan is approved, not the factory or the deficiency identified.

**V Opco, LLC production may not be placed in a factory until independent audit has been conducted and factory receives either an Acceptable audit grade or a Needs Improvement grade with a CAP presented to V Opco, LLC - Per policy statement regarding placement of production.

***Audit deficiencies in the wage/hour, child or forced labor and disciplinary practices categories must be re-audited by independent monitor to verify compliance using a method acceptable to Vince.